

APPENDIX

REGULATION OF THE HEAD OF COMMODITY FUTURES TRADING AUTHORITY

NUMBER: 99/BAPPEBTI/PER/ 1/2012

ON

**CUSTOMERS' ON-LINE ELECTRONIC REGISTRATION IN THE SECTOR OF
COMMODITY FUTURES TRADING**

-
- FORM NUMBER 111.PRO.65** Application for the designation as a futures broker who carries out on-line electronic customers' registration activities.
- FORM NUMBER III.PRO.65A** List of questions for the Application for the Designation as Futures Brokers Carrying Out On-Line Electronic Customers' Registration Activities.
- FORM NUMBER 111.PRO.66** Minutes of the Inspection of On-Line Electronic Customers' Registration Application System.
- FORM NUMBER 111.PRO.67** Decision of the Head of the Commodity Futures Trading Authority on the Designation as a Futures Broker Carrying Out On-Line Electronic Customers' Registration Activities.

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FORM NUMBER 111.PRO.68

Rejection of the Application for
Designation as Futures Broker Carrying
Out On-Line Electronic Customers'
Registration Activities

HEAD OF THE COMMODITY FUTURES
TRADING AUTHORITY

(SIGNATURE)

SYAHRUL R SEMPURNAJAYA

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Decree of Governor of DKI Jakarta No 527/1995

FORM NUMBER III.PRO.65

(Printed on a Company's Letter Head paper)

Number :

Appendix :

Subject : Application for a designation as a futures broker who carries out on-line electronic customers' registration activities

To: Head of the Commodity Futures Trading Authority

Ministry of Trade in

Jakarta

In accordance with the Regulation of the Head of CoFTRA (Bappebti) Number: 99/BAPPEBTI/PER/11/2012 dated November 26, 2012 on On-Line Electronic Customer Registration in the sector of Commodity Futures Trading, we hereby request approval for the Designation as a Futures Broker carrying out on-line electronic customers' registration activities.

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Please find enclosed the completed Form Number III.PRO.65.A
along with the required supporting documents.

We appreciate your attention in this matter, thank you.

Applicant

(.....)

Print Name

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FORM NUMBER III.PRO.65.A

LIST OF QUESTIONS FOR THE APPLICATION FOR THE DESIGNATION AS A
FUTURES BROKER THAT CARRIES OUT ON-LINE ELECTRONIC
CUSTOMERS' REGISTRATION ACTIVITIES

NOTES:

1. Read carefully before filling out this application form. If the answer space is not sufficient please write it as an appendix that is an integral part of this form and signed by the applicant.
2. This application shall be signed by the President Commissioner and President Director of the company and stamped.

I. Company's Information

1. a. Name of Applicant	
b. Title of Applicant	
2. Name of Company	

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3. Company's Taxpayer Identification Number	
4. Company's Address	
5. Telephone, Facsimile Number, E-mail	
6. Date and Number of Business license	
7. Place and date of establishment	
8. Amount of Paid-up capital	
9. Member Number of Futures Exchange	
10. Member Number of Futures Clearing Institution	

11. Board of Commissioners Name List

No.	Full Name	Position/Task field

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12. Board of Commissioners Name List

No.	Full Name	Position/Task field

13. Names of other parties controlling the applicant's company

No.	Full Name	Address

14. Name of Futures Broker Representative appointed to verify

No.	Full Name Permit Number of Futures Broker Representative	

II. List of Futures Contracts, Sharia Derivative Contracts and/or Other Derivatives Contract being traded *) and Futures Exchange As a Reporting or Transaction Place

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No.	Name of Futures Contracts, Sharia Derivative Contracts and/or Other Derivatives Contract being traded	Name of Futures Exchange

III. List of Trading System Used.

No.	Name of Trading System Used.

IV. Features used in the On-line Electronic Customers' Registration System

No.	Name of Features Used in the On-line Electronic Customers' Registration System	Description:

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V. List of Appendix

- a. photocopy of Deed of Establishment of the Limited Liability Company (PT) approved by the Minister of Law and Human Rights;
- b. photocopy of Taxpayer Identification Number (NPWP);
- c. Photocopy of Futures Broker License;
- d. Photocopy of membership identification of Futures Exchange;
- e. Photocopy of membership identification of Futures Clearing Institution;
- f. financial statements on capital balances that have been audited by a Public Accountant;
- g. information on the readiness of facilities and system supporting a regular, fair, efficient, effective and transparent trade;
- h. information on the readiness of the On-Line Electronic Customers' Registration application system containing all the required features;
- i. manual use of the on-line electronic customers' registration application system; and
- j. Photocopy of the Futures Broker Representative license appointed to perform verification:

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VI. Statement

We certify that all of the information submitted in the above application and the appendixes are true. If in the future the information is proven not true, we are fully responsible and are willing to accept all legal consequences.

...../

Applicant

President Commissioner

President Director

Stamp Duty

Stamp Duty

(.....)

(.....)

Print Name

Print Name

*) Choose one

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INVESTIGATION REPORT ON THE ON-LINE ELECTRONIC CUSTOMER'S
REGISTRATION APLICATION SYSTEM

On this day ... , date , month ... , year at The
undersigned:

- 1. Name :.....
EIN (Employee Identification Number) :.....
Title/Position :.....
- 2. Name :.....
EIN :.....
Title/Position :.....
- 3. Name :.....
EIN :.....
Title/Position :.....

In accordance with the assignment letter from the Head of the
Commodity Futures Trading Authority Number
dated, we have examined the on-line
electronic customers' registration application system owned
by Futures Brokers PT to be
designated as a Futures Broker carrying out on-line
electronic customers' registration activities. The results
of the inspection referred to are as attached.

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This Examination report is made to be used properly.

PT....., Verification Team,

Stamp and signature 1.

Print Name 2.

(President Director) 3.

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FORM NUMBER III.PRO.67

DECREE OF THE HEAD OF COMMODITY FUTURES TRADING AUTHORITY

NUMBER/BAPPEBTI/KEP-PBK/..... ./

ON

DESIGNATION AS A FUTURES BROKER THAT CARRIES OUT ELECTRONIC ON-
LINE CUSTOMERS' REGISTRATION ACTIVITIES

TO PT.

HEAD OF THE COMMODITY FUTURES TRADING AUTHORITY,

Having Read : Application to be Designated as a Futures
Broker carrying out on-line electronic
customers' registration activities from
PT..... Number..... dated

In consideration of : that your application is deemed to
have fulfilled the requirements and based
on that reason, it can be considered to be
given a designation as a futures broker

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carrying out on-line electronic customers' registration activities;

In observation of:

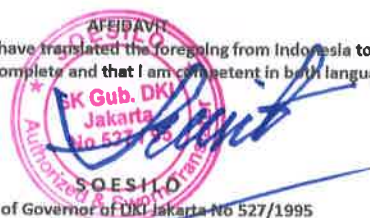
1. Law No.32 of 1997, on Commodity Futures Trading (State Gazette Year 1997 No.93, Supplement to the State Gazette No. 3720); as amended by Law Number 10 of 2011 (State Gazette of the Republic of Indonesia Year 2011 Number 79, Supplement to the State Gazette of the Republic of Indonesia Number 5232);
2. Government Regulation Number 9 of 1999 on Commodity Futures Trading (State Gazette Year 1999 Number 16, Supplement to State Gazette Number 3805);
3. Presidential Decree Number 84/P Year 2009, on Formation of the United Indonesia Cabinet II Period 2009-2014 as amended by Presidential Decree Number 59/P of 2011;
4. Presidential Decree Number 6/M of 2011 on the Appointment of Echelon I Officials within the Ministry of Trade;

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5. Presidential Regulation Number 47 of 2009 on Formation and Organization of State Ministries as amended several times, most recently by Presidential Regulation Number 91 of 2011 on Third Amendment to Presidential Regulation Number 47 of 2009 on the Formation and Organization of State Ministries;
6. Presidential Regulation Number 24 of 2010 concerning Position, Duties and Functions of State Ministries as well as the Organizational Structure, Duties and Functions of Echelon I of State Ministries as amended several times, most recently by Presidential Regulation Number 92 of 2011 on Second Amendment to Presidential Regulation Number 24 of 2010 concerning the Position, Duties and Functions of the State Ministries as well as the Organizational Structure, Duties and Functions of Echelon I of the State Ministries;
7. Regulation of the Minister of Trade No. 31/M-DAG/PER/7/2010 on Organization and Work Procedure of the Ministry of Trade;
8. Regulation of the Head of Commodity Futures Trading Authority Number 99/BAPPEBTI/PER/11/2012

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concerning On-Line Electronic Customers'
Registration in the Commodity Futures Trading
Sector;

HAS DECIDED:

To Establish: DECREE OF THE HEAD OF COMMODITY FUTURES TRADING
AUTHORITY ON THE DESIGNATION AS A FUTURES BROKER
CARRYING OUT THE ON-LINE ELECTRONIC CUSTOMERS'
REGISTRATION TO PT.

Article 1

Assigning Designation to perform activities as a
Futures Broker to carry-out on-line electronic
customers' registration activities to PT
..... office address at
.....

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Article 2

This designation is valid as long as the party referred to in Article 1 is still actively carrying out his activities as a Futures Broker that carries-out On-Line Electronic Customers' Registration.

Article 3

Futures Brokers must report amendments to company data no later than 7 (seven) working days from the date of the amendments.

Article 4

This Decree of the Head of Commodity Futures Trading Authority is effective from the date of stipulation.

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Stipulated in Jakarta

On date

HEAD OF THE COMMODITY FUTURES

TRADING AUTHORITY,

.....

COPIES of the Decree of Head of Commodity Futures Trading Authority are distributed to:

1. Trade Minister of the Republic of Indonesia;
2. Vice Trade Minister of the Republic of Indonesia;
3. Secretary General of the Ministry of Trade;
4. Inspector General of the Ministry of Trade;
5. Secretaries and Head of Bureaus in *Bappebti*.

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FORM NUMBER III.PRO.68

Number : Jakarta,

Appendix :

Subject : Rejection of Application to be Designated as a
Futures Broker carrying out on-line electronic
customers' registration activities

To:

at

Referring to your letter Number: dateSubject

....., after examining your
application, it is hereby decided that your application is
rejected since it does not meet the following requirements:

1.

2.

3.

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We appreciate your attention in this matter.

HEAD OF THE COMMODITY FUTURES

TRADING AUTHORITY,

.....

Copies:

1. Trade Minister of the Republic of Indonesia;
2. Vice Trade Minister of the Republic of Indonesia;
3. Secretary General of the Ministry of Trade;
4. Inspector General of the Ministry of Trade;
5. Secretaries and Head of Bureaus in *Bappebti*.

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