



**COMMODITY FUTURES TRADING AUTHORITY**

Bappebti Building 3rd-5th Floor  
JI. Kramat Raya No, 172 Jakarta 10430  
Telephone: (021) 31924744 Facsimile: (021)  
31923204  
Website: <http://www.bappebti.go.id>

REGULATION OF THE HEAD OF COMMODITY FUTURES TRADING AUTHORITY  
NUMBER:

107/BAPPEBTI/PER/11/2013

ON

AMMENDMENT TO THE REGULATION OF THE HEAD OF COMMODITY FUTURES  
TRADING

AUTHORITY NUMBER: 99 /BAPPEBTI/PER/ 11/2012 ON  
ON-LINE ELECTRONIC CUSTOMERS' REGISTRATION  
IN THE SECTOR OF COMMODITY FUTURES TRADING  
BY THE GRACE OF GOD ALMIGHTY  
HEAD OF COMMODITY FUTURES TRADING AUTHORITY

In consideration of:

a. in order to meet market needs and provide protection to customers in the sector of Commodity Futures Trading, it is necessary to make improvements to the Regulations of the Head of Commodity Futures Trading Authority Number 99/BAPPEBTI/PER/11/2012 On the On-Line Electronic Customer's Registration in the Sector of Commodity Futures Trading

b. based on the consideration as referred to in letter a, it is necessary to stipulate Regulation of the Head of the Commodity Futures Trading Authority;

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Decree of Governor of DKI Jakarta No 527/1995

In observation of:

1. Law No.32 of 1997, on Commodity Futures Trading as amended by Law No.10 of 2011 (State Gazette of the Republic of Indonesia Year 2011, Number 79, Supplement to State Gazette of the Republic of Indonesia Number 5232);
2. Government Regulation Number 9 of 1999, on Commodity Futures Trading (State Gazette of The Republic of Indonesia Year 1999 Number 16, Supplement to State Gazette of the Republic of Indonesia Number 3805);
3. Presidential Decree Number 59/P of 2011 on the Formation of the United Indonesia Cabinet II as amended by Presidential Decree Number 59/P of 2011;
4. Presidential Decree Number 69 / M of 2013 on the Appointment of Echelon I Officials in the Ministry of Trade:
5. Presidential Regulation No. 47 of 2009 on the Formation and Organization of State Ministries as amended several times, most recently by Presidential Regulation No. 91 Year:

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6. Presidential Regulation Number 24 of 2010 on Position, Duties and Functions of State Ministries as well as the Organizational Structure, Duties and Functions of Echelon I of State Ministries as amended several times, most recently by Presidential Regulation Number 92 of 2011;
7. Regulation of the Minister of Trade Number 31/M-DAG/PER/7/2010 on Organization and Work Procedure of the Ministry of Trade as amended by Minister of Trade Regulation Number 57/NI-DAG/PER/10/2012;
8. Regulation of the Head of Commodity Futures Trading Authority Number 63/BAPPEBTI/Per/9/2008 on Technical Provisions on the Behavior of Futures Brokers as amended by Regulation of the Head of Commodity Futures Trading Authority Number 64/BAPPEBTI /Per/1/2009;
9. Regulation of the Head of Commodity Futures Trading Authority Number 99/BAPPEBTI/PER/11/2012 concerning On-Line Electronic Customers' Registration in the Sector of Commodity Futures Trading;

HAS DECIDED:

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To Establish: REGULATION OF THE HEAD OF COMMODITY TRADING  
AUTHORITY ON THE AMENDMENT TO THE REGULATION OF  
THE HEAD OF THE COMMODITY FUTURES TRADING  
AUTHORITY NUMBER 99/BAPPEBTI/PER /11/2012  
CONCERNING ON-LINE ELECTRONIC CUSTOMERS'  
REGISTRATION IN THE SECTOR OF COMMODITY FUTURES  
TRADING

Article I

Several provisions in the Regulation of The Head of  
Commodity Futures Trading Authority Number  
99/BAPPEBTI/PER/11/2012 concerning On-Line  
Electronic Customers' Registration in the Sector of  
Commodity Futures Trading amended as follows:

1. The provision of Article 1, amended and reads  
as follows:

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Article 1

(1) On-line Electronic Customers' Registration is a process that covers understanding and filling in the application for opening a transaction account, Risk Disclosure Statement, Mandating Agreement, and confirmation on having carried out futures trading simulation and trading rules which are all conducted electronically.

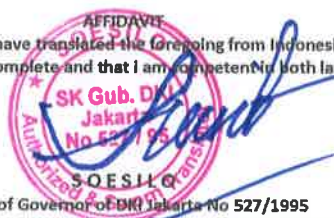
(2) On-Line Electronic Customers' Registration can only be done by Futures Brokers who have been designated by *Bappebti*.

(3) The designation referred to in paragraph (2) is only given to Futures Brokers that meet the following requirements:

a. do not violate the provision on minimum financial requirement and financial reporting obligation for the past 1 (one) year;

b. having an on-line customers' registration application system; and

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c. having Standard Operating Procedure in the context of On-Line Electronic Customers' Registration.

(4) The on-line electronic customer's registration application system as referred to in paragraph (3) letter b must contain at least the following features:

- a. Customer's eligibility;
- b. Company profile;
- c. Futures Trading simulation transaction facility (customer's demo);
- d. Customer's profile and application for opening an account;
- e. Risk Disclosure Document;
- f. Mandating Agreement;
- g. trading rules, including all fees collected;
- h. Customer's statement not to disclose Personal Access Password to another party;
- i. Means of promotion;

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- j. Segregated Account;
- k. Futures Contract; Sharia Derivative Contract; and/or Other Derivative Contract being traded;
- l. verification of the completeness of customer's registration requirements;
- m. Confirmation proof of customer's registration;
- n. means of dispute resolution choice;
- o. the office chosen or the nearest Futures Broker branch office in accordance with the domicile of the Customer for customer complaint service; and
- p. has the ability to keep and provide a track record of every implementation of on-line electronic customers' registration activities.

(5) Features as referred to in paragraph (4) letter b to letter h must be guided by Form Number 107. PBB.01 to Number 107.PBK.07 as stated in Appendix 2 This Regulation of the

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Head of *Bappebti* is an integral part of this  
Regulation of the Head of *Bappebti*.

(6) Confirmation proof of Customers' registration as referred to in paragraph (4) letter n must be signed by the Representative of the Futures Broker who carries out verification and the President Director of the Futures Broker, it is then sent to the Customer in printed and electronic form.

2. Between Article 1 and Article 2 a new Article is inserted, namely Article 1A which reads as follows:

Article 1A

(1) On-Line Electronic Customers' Registration in the Sector of Commodity Futures Trading can be carried out for Implementing Customers' Registration for Futures Contract and/or Derivative Contract transaction in an Alternative Trading System.

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(2) Derivative Contract in the Alternative Trading System as referred to in paragraph (1) may be Derivative Contract in the Alternative Trading System with a minimum volume of 0.1 (zero point one) lot.

(3) Derivative contract in the Alternative Trading System with a minimum volume of 0.1 (zero point one) lot as referred to in paragraph (2) the type is determined by the Head of *Bappebti* as attached in the Appendix 1 This Regulation of the Head of *Bappebti* is an integral part of this Regulation of the Head of *Bappebti*.

3. Between Article 2 and Article 3 a new Article is inserted, namely Article 2A which reads as follows:

Article 2A

(1) Futures Brokers who have received a designation from *Bappebti* are required to recap the number of Customers and their transactions every 3 (three) months, the form of which is determined as listed in Appendix 3 to the Regulation of the Head of *Bappebti*,

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which is an integral part of this Regulation  
of the Head of *Bappebti*.

(2) The recap of the number of Customers and  
their transactions referred in paragraph (1)  
must be submitted to the Head of *Bappebti* no  
later than 7 (seven) days after the end of  
the reporting period.

(3) *Bappebti* evaluates Futures Brokers  
designated as Futures Brokers who carry out  
on-line electronic customers' registration  
every 1 (one) year including multilateral  
transactions on the Futures Exchange.

(4) In the event that the evaluation result as  
referred to in paragraph (3) are not in  
accordance with the requirements stipulated  
in the Regulation of the Head of *Bappebti*,  
*Bappebti* may cancel the designation as a  
Futures Broker carrying out the on-line  
electronic customer's registration.

4. Between Article 6 and Article 7 a new Article  
is inserted, namely Article 6A which reads as  
follows:

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Article 6A

A party that has been designated as a Futures Broker carrying out on-line electronic customers' registration activities in the Commodity Futures Trading sector must adjust to the provisions in this Regulation of the Head of Bappebti within a maximum of 3 (three) months since this Regulation of the Head of Bappebti is established.

Article II

This Regulation of the Head of Commodity Futures Trade Authority comes into force from the date of stipulation.

For the purpose of public cognizance, it is hereby ordered that this Regulation of the Head of Commodity Futures Trading Authority be promulgated in the State Gazette of the Republic of Indonesia.

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Stipulated in Jakarta

On 26 November 2013

**Head of Commodity**

**Futures Trading**

**(Stamp and Signature)**

**SUTRIONO EDI**

COPIES of the Regulation of The  
Head of Commodity, Futures Trading  
Authority are distributed to:

- 1 Minister of Trade of the Republic of Indonesia
- 2 Vice Minister of Trade of the Republic of Indonesia
- 3 Secretary General of the Trade Ministry
- 4 Inspector General of the Trade Ministry
- 5 Secretaries and Head of Bureaus within *Bappebti*

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